

REFEREN(	CE DESI	GNATION
----------	---------	---------

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
PONT.	E1	6,301,527	10/09/01	Butland et al.	700/286	09/24/99
1	E2	6,115,393	09/05/00	Engel et al.	370/469	07/21/95
	_E3	US2002/0120723 A1	08/29/02	Forth et al.	709/221	02/23/01
	E4	US2002/0162014 A1	10/31/02	Przydatek et al.	713/200	08/15/01
Por	E5	US2002/0165677 A1	11/07/02	Lightbody et al.	702/62	08/15/01

## **FOREIGN PATENT DOCUMENTS**

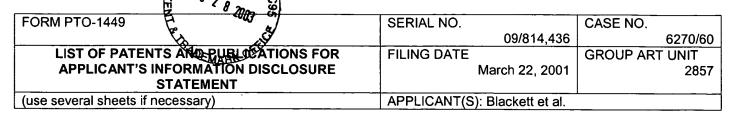
EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
					,

EXAMINER INITIAL	(Ir	OTHER ART – NON PATENT LITERATURE DOCUMENTS  nclude name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial,			
S		posium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.			
POVE	E6	Questra Applications Summary Data Sheet, pp. 1-2, 07/02/02.			
	E7	Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.			
	E8	Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.			
	E9	Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002			
	E10	Tridium™ Vykon™ Building "Vykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.			
		Tridium™ Vykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.			
		Tridium™ Vykon™ Building "JACE-511™" Product Data Sheet, pp. 1-2, 05/28/02.			
·	E13	Vykon™ By Tridium "JACE-NP-1®" Product Data Sheet, pp. 1-2, 05/28/02.			
	E14	Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.			
	E15	Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.			
	E16	A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.			
	E17	LiveData® Real-Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.			
	E18	Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable" brochure, pp. 1-8, 11/01/01.			
V	E19	EnergyView™ "Energy Aggregation & Information System (EAIS) for Monitoring and Analysis of Electric and Gas Demand", selected pages from an EnergyView website <a href="http://66.64.38.69/energyview">http://66.64.38.69/energyview</a> , pp. 1-4, 10/30/01.			
409	E20	Power Monitoring home page, obtained from <a href="http://www.parijat.com/Power Monitoring.htm">http://www.parijat.com/Power Monitoring.htm</a> , 10/09/02, one page,			

EXAMINER P	- U	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99



REFERENCE DESIGNATION

**U.S. PATENT DOCUMENTS** 

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
POR	F1	2002/0116550 A1	08/22/02	Hansen	709/330	04/17/02

## **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANS YES	LATION NO
	1				1		

EXAMINER		
INITIAL		OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
608	F2	Connect One "Automatic Meter Reading via the Internet", pp. 1-2. July 11, 2001
N.A	F3	iModem™ "The Fastest Way to Internet-Enable any Device", pp. 1-3. July 11, 2001
PGB	F4	ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6. July 11, 2001.
PSA	F5	Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4. Link-present as of Dec 2, 1998 according to web archive at http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm 2
PCB	F6	Engage Networks Inc. "Internet Protocol Card for Revenue Meters" brochure, pp. 4-2. Link present as of Mar 3, 2000 on http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml. Original document archived at http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/pcard.pdf on May 20, 2003.
POA	F7	Engage Networks Inc. "AEM" (Active Energy Management) brochure, pp. 1-2. Link present as of Mar 3, 2000 on http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml. Original document archived at <a href="http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf">http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf</a>
6.03	F8	Year 2000 Rediness Disclosure Arcom Control Systems, "Apex", obtained at internet address <a href="http://www.arcomcontrols.com/products/pcp/pcp10.htm">http://www.arcomcontrols.com/products/pcp/pcp10.htm</a> . Document archived at <a href="http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm">http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm</a> on May 30, 2000.
SDD.	F9	Dranetz BMI, Signature System™ "Information, Knowledge, Power" brochure. Applicants believe this reference was published prior to January 9, 2003.
(21)	F10	Questra A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at <a href="http://www.questra.com/h1">http://www.questra.com/h1</a> products/Questra A2Bplatform. Applicants believe this reference was published prior to January 9, 2003.

		 ۸			
EXAMINER	Parra		DATE CONSIDERED	•	a/26/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	•	5 0 60
	_	Page 2 of 3
FORM PTO-1449 AUG 2 8 2003	SERIAL NO.	CASE NO.
	09/814,436	6270/60
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	March 22, 2001	2857
STANDMENT		
(use several sheets if necessary)	APPLICANT(S): Blackett et al.	

EXAMINER INITIAL		OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
(M	F11	Questra A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at <a href="http://www.questra.com/h1">http://www.questra.com/h1</a> products/Questra A2Bsales. Applicants believe this reference was published prior to January 9, 2003.
$\times$	F12	"Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [mailto:erich@electrotek.com] to Brad Forth@pml.com sent 5/9/01 3:36pm, pp. 1-2.
POP	F13	webGate™ IRIS Technology, products brochure pp. 1-9. Applicants believe this reference was published prior to January 9, 2003.
bort	F14	WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for munet.com.
bey	F15	WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for munet.com.
Por	F16	munet.com.  F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract ATG Division CERN, 1211 Geneva 23, 6 pages. Published 1995.
600	F17	Distributed.Net, <a href="http://n0cgi.distributed.net/faq/cache/178.html">http://n0cgi.distributed.net/faq/cache/178.html</a> , printed June/10/2003. Link archived at <a href="http://web.archive.org/web/20000901052607/n0cgi.distributed.net/faq/cache/178.html">http://web.archive.org/web/20000901052607/n0cgi.distributed.net/faq/cache/178.html</a> , on Sept 01, /2000.
900	F18	Questra, Security overview, obtained <a href="http://www.questra.com/products/security.asp">http://www.questra.com/products/security.asp</a> , printed June 10, 2003. 2 pages. Applicants believe this reference was published prior to Jamary 9, 2003.
600	F19	Questra Mastering Smart Security brochure, 2 pages Copyright 2002 Questra Corporation, obtained <a href="http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf">http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf</a> , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
608	F20	Questra Total Access brochure, 2 pages, Copyright 2003 Questra Corporation, obtained <a href="http://www.questra.com/collateral/collateral_files/TotalAccess.pdf">http://www.questra.com/collateral/collateral_files/TotalAccess.pdf</a> , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
(CD)	F21	Axeda DRM System Overview, 2 pages, obtained <a href="http://www.axeda.com/solutions/overview.html">http://www.axeda.com/solutions/overview.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
900	F22	Axeda Access, 3 pages, obtained <a href="http://www.axeda.com/solutions/portals/portal_access.html">http://www.axeda.com/solutions/portals/portal_access.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
600	F23	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html">http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html</a> , printed June 9, 2003.  Applicants believe this reference was published prior to January 9, 2003.
best	F24	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/index.html">http://www.axeda.com/solutions/tech_challenges/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.

EXAMINER CO	0	$\mathcal{N}$	DATE CONSIDERED	9/24/07	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	<del>-</del>	Page 3 of 3
FORM PTO-1449	SERIAL NO.	CASE NO.
	09/814,436	6270/60
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	March 22, 2001	2857
STATEMENT		
(use several sheets if necessary)	APPLICANT(S): Blackett et al.	

	EXAMINER INITIAL	·	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
/	IPE NOS	F25	Axeda DRM Technology Overview, 1 page, obtained  http://www.axeda.com/solutions/tech_challenges/standards.html, printed June 9, 2003.  Applicants believe this reference was published prior to January 9, 2003.
2 1	NIP 2 8 2013		Axeda Solutions for Industry, 1 page, obtained <a href="http://www.axeda.com/solutions/industries/industrial.html">http://www.axeda.com/solutions/industries/industrial.html</a> , printed June 9, 2003. Archived at <a href="http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html">http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html</a> on Feb 21, 2002.
	POY	F27	Axeda Agents, 2 pages, obtained <a href="http://www.axeda.com/solutions/device-servers/index.html">http://www.axeda.com/solutions/device-servers/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	Port	F28	Axeda Case Studies, "Axeda Prevents Power Failures at a Leading Microprocessor Plant in Israel", 2 pages, obtained <a href="http://www.axeda.com/industies/casestudies_microprocessor.html">http://www.axeda.com/industies/casestudies_microprocessor.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.

EXAMINER	DATE CONSIDERED 9/26/07

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.